



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/806,203	06/19/2001	Honchin En	Q63594	3753

23373 7590 08/10/2004  
SUGHRUE MION, PLLC  
2100 PENNSYLVANIA AVENUE, N.W.  
SUITE 800  
WASHINGTON, DC 20037

EXAMINER

CHAMBLISS, ALONZO

ART UNIT	PAPER NUMBER
----------	--------------

2814

DATE MAILED: 08/10/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

09/806,203

Applicant(s)

EN ET AL.

Examiner

Alonzo Chambliss

Art Unit

2827

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 21 May 2004.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 25-27,29-32,64,65,67 and 68 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 25-27,29-32,64,65,67 and 68 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date 5/21/04.
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_.

## **DETAILED ACTION**

### ***Continued Examination Under 37 CFR 1.114***

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114.

Applicant's submission filed on 5/21/04 has been entered.

### ***Response to Arguments***

2. Applicant's arguments with respect to claims 25-27, 29-33, 64, 65, 67, and 68 have been considered but are moot in view of the new ground(s) of rejection.

### ***Claim Rejections - 35 USC § 103***

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary.

Art Unit: 2827

Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

4. Claims 25-27, 29, 64, and 65 are rejected under 35 U.S.C. 103(a) as being unpatentable over Uno et al. (EP 743812) in view of Wroe et al. (U.S. 4,994,903).

With respect to Claims 25 and 26, Uno discloses a resin substrate board having on both sides thereof, first resin insulating layers 4 each comprised of the same resin material. A lower metal layer 6 has a conductor circuit made of metal and having the same pattern as the lower metal layer 6, on each of the first resin insulating layers. The resin insulating layers 4 comprise thermosetting polyimide resin and lower metal layers 6 are composed of Cu (page 4 lines 48-51 and page 7 lines 20-24; Figs. 2-5). Uno fails to disclose a resin-insulating layer comprising thermosetting polyolefin resin and a metal layer comprised of Al. However, it is well known in the semiconductor industry to substitute polyolefin for polyimide and Al for copper as evident by Wroe (see col. 2 lines 11-13, col. 3 lines 19-25, col. 5 lines 34-38, and col. 6 lines 35-39). Therefore, it would have been obvious to one skilled in the art at the time of the invention to substitute polyolefin for polyimide and Al for copper in the process of Uno, since the polyolefin would provide reliable electrical insulating layer for the substrate while the Al would

Art Unit: 2827

provide reliable metal for bond to the electrical insulating made of polyolefin as taught by Wroe.

With respect to Claim 27, Uno discloses wherein each of the first resin insulating layers has a portion that has a flat and level surface (see Fig. 5).

With respect to Claim 29, the limitation " of said resin insulating layers has a surface obtained by plasma treatment or corona discharge treatment", is a product by process limitation. If the product in the product-by-process claims are the same as or obvious from a product of the prior art, the claims are unpatentable even though the prior product was made by a different process. See *In re Thorpe*, 227 USPQ 964,966 (Fed.cir 1985). A "product by process" claim is directed to the product per se, no matter how actually made, *In re Brown*, 173 USPQ 685., *In re Luck*, 177 USPQ 523\*, *In re Fessmann*, 180 USPQ 324\*, *In re Avery*, 186 USPQ 161 ; *In re Wertheim*, 191 USPQ 90 (209 USPQ 554 does not deal with this issue; *In re Marosi et al*, 218 USPQ 289', and particularly *In re Thorpe*, 227 USPQ 964, all of which make it clear that it is the patentability of the final product per se which must be determined in a "product by process" claim, and not the patentability of the process, and that an old or obvious product produced by a new method is not patentable as a product, whether claimed in "product by process" claims or not. Note that applicant has the burden of proof in such cases, as the above case law makes clear.

With respect to Claim 64, the limitation that: "each of the metal layers are formed by plating, PCD or CVD", is a product by process limitation. See explanation in claim 25.

Art Unit: 2827

With respect to Claim 65, Wroe inherently discloses that the polyolefin resin has a dielectric constant value not more than 3 and dielectric loss tangent value not more than 0.05 based on the characteristic of the material.

5. Claims 30-32, 67, and 68 are rejected under 35 U.S.C. 103(a) as being unpatentable over Uno et al. (EP 743812) and Wroe et al. (U.S. 4,994,903) as applied to claim 25 above, and further in view of Brandli et al. (U.S. 5,227,012).

With respect to Claim 30, Uno-Wroe both fail to disclose each of the conductor circuits having an upper metal layer made of Ni on its surface, wherein the upper metal layer has a second resin-insulating layer. However, Brandli discloses each of the conductor circuits 1' having an upper metal layer 2' made of Ni on its surface, wherein the upper metal layer has a second resin insulating layer 5' (see col. 3 lines 52-63 and col. 4 lines 1-10). Thus, Uno-Wroe and Brandli have substantially the same environment of a metal layer attached to a polyimide or polyolefin layer. Therefore, it would have been obvious to one skilled in the art at the time of the invention to incorporate the upper metal layer and second resin insulating layer with the process of Uno-Wroe, since the upper metal layer would improve the adhesion between the lower metal layer and the second resin layer as taught by Brandli.

With respect to Claim 31, Brandli discloses wherein each of the lower metal layers 1 on the surface of the first resin insulating layers 5 has a Cu layer 2 formed on its surface and the conductor circuit is constructed on the Cu layer (see col. 3 lines 52-63; Figs. 1c and 2).

Art Unit: 2827

With respect to Claim 32, Brandli discloses wherein the thickness of each of the lower metal layers is .01 micrometers (see col. 4 lines 60-64).

With respect to Claim 67, Brandli discloses a successive series of units, each unit comprising the first resin insulating layer 5, the lower metal layer 1 on the first resin insulating layer 5 and the conductor circuit on the lower metal layer 1 (see Fig. 2).

With respect to Claim 68, Brandli discloses on the second resin insulating layer 5', another lower metal layer 1'' on the second resin insulating layer, and another conductor circuit made of metal on the another lower metal layer 2'', 3'' (see Fig. 2).

The prior art made of record and not relied upon is cited primarily to show the process of the instant invention.

### ***Conclusion***

6. Any inquiry concerning the communication or earlier communications from the examiner should be directed to Alonzo Chambliss whose telephone number is (703)

306-9143. The fax phone number for this Group is (703) 308-7722 or 7724.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is (703) 308-7956

Art Unit: 2827

**AC**/August 8, 2004

A handwritten signature in black ink, appearing to read "Alonzo Chambliss". The signature is fluid and cursive, with the first name "Alonzo" written in a larger, more prominent script than the last name "Chambliss".

Alonzo Chambliss  
Primary Patent Examiner  
Art Unit 2827